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33,665

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413-243-0551

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1. This Certificate of Transmission (1 page); and 2. "PART B - FEE(S) TRANSMITTAL" (two duplicates) (2 pages); all for U.S. Patent Application Serial No. 10/748,473 filed on 12/30,2003 for a "DIRECT ANTIFREEZE COOLED FUEL CELL POWER PLANT WITH PASSIVE WATER MANAGEMENT".

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11/13/2006

Malcolm J. Chisholm, Jr.  
220 Main Street  
P.O. Box 278  
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Malcolm J. Chisholm, Jr. (Depositor's name)  
*Malcolm J. Chisholm, Jr.* (Signature)  
January 8, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/748,473	12/30/2003	Richard D. Breault	C-3020	7083

TITLE OF INVENTION: DIRECT ANTIFREEZE COOLED FUEL CELL POWER PLANT WITH PASSIVE WATER MANAGEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	02/13/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
YUAN, DAH WEI D	1745	429-026000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 MALCOLM J. CHISHOLM, JR.

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

UTC FUEL CELLS, LLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

South Windsor, Connecticut

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(e)(2).

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Authorized Signature

*Malcolm J. Chisholm, Jr.*

Date January 8, 2007

Typed or printed name:

Malcolm J. Chisholm, Jr.

Registration No. 33,665

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01 FC:1501 1400.00 DA

02 FC:1504 300.00 DA